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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralf Hofmann et al.  
Assignee: Sun Microsystems, Inc.  
Title: A COMPUTER-BASED PRESENTATION MANAGER AND METHOD  
FOR INDIVIDUAL USER-DEVICE DATA REPRESENTATION  
Serial No.: 09/759,744 Filed: January 12, 2001  
Examiner: Scott M. Klinger Group Art 2153  
Unit:  
Docket No.: P-4592

Monterey, CA  
September 17, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER §1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME
1)	6,012,098	1/4/2000	Bayeh et al.
2)	2002/0059344	5/16/2002	Britton et al.

**OTHER DOCUMENTS**

1)	"Announcement: SAX - Simple API for XML (1998-01-12 Draft)", pg. 1, <a href="http://www.oasis-open.org/cover/SAXAnn980112.html">http://www.oasis-open.org/cover/SAXAnn980112.html</a> .
2)	Garshol, L., "Free XML Software", December 15, 1999, pp. 1-53, <a href="http://horizon.nserl.purdue.edu/Xml/XMLtools.html">http://horizon.nserl.purdue.edu/Xml/XMLtools.html</a> .

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3)	"New SAXON Release (3.03)", September 1, 1998, pp. 1-2, <a href="http://xml.coverpages.org/saxon980901.html">http://xml.coverpages.org/saxon980901.html</a> .
4)	St. Laurent, S., "Toward a Layered Model for XML," (C) 1999, pp. 1-17, <a href="http://www.simonstl.com/articles/layering/layered.htm">http://www.simonstl.com/articles/layering/layered.htm</a> .
5)	Wallace, M. and Runciman, C., "Haskell and XML: Generic Combinators or Type-Based Translation?" ICFP'99 9/99, ACM, pp. 148-159.
6)	"XT extension mechanism", January 2, 1999, pp. 1-2, <a href="http://www.oasis-open.org/cover/xt-extension199901.html">http://www.oasis-open.org/cover/xt-extension199901.html</a> .

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Enclosed herewith is a check in the amount of \$180.00 payable to the Director of the United States Patent and Trademark Office, which is the amount for submission of an Information Disclosure Statement given in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 17, 2004.

  
Attorney for Applicant(s)

September 17, 2004  
Date of Signature

Respectfully submitted,



**Lisa A. Norris**  
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Form PTO-1449

Atty Docket No.

Serial No.

P-4592

09/759,744

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant(s)

Ralf Hofmann et al.

Filing Date

January 12, 2001

Group

2153

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,012,098	1/4/2000	Bayeh et al.	709	246	
	AB	2002/0059344	5/16/2002	Britton et al.	707	513	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

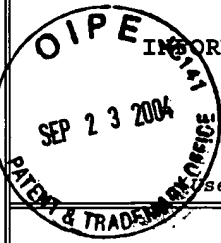
AR	"Announcement: SAX - Simple API for XML (1998-01-12 Draft)", pg. 1, <a href="http://www.oasis-open.org/cover/SAXAnn980112.html">http://www.oasis-open.org/cover/SAXAnn980112.html</a> .
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Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



Form PTO-1449				Atty Docket No. P-4592		Serial No. 09/759,744		
 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>				Applicant(s) Ralf Hofmann et al.				
				Filing Date January 12, 2001		Group 2153		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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	AG							
	AH							
	AI							
	AJ							
	AK							
<b>FOREIGN PATENT DOCUMENTS</b>								
							<b>Translation</b>	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
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	AN							
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